



PUBLIC UTILITIES COMMISSION
 STATE OF CALIFORNIA
 505 VAN NESS AVENUE | SAN FRANCISCO, CALIFORNIA 94102
 300 CAPITOL MALL | SACRAMENTO, CALIFORNIA 95814

Via email:
 kevins@anzaelectric.org

November 30, 2023

Kevin Short, General Manager
 Anza Electric Cooperative, Inc.
 58470 Hwy 71
 P.O. Box 391909
 Anza, CA 92359

Subject: Ministerial Conditional Approval of up to \$688,431 Funding for Anza Electric Cooperative, Inc.'s California Advanced Services Fund Infrastructure Grant Application for the ConnectAnza Phase 3 Project.

Dear Mr. Short,

Staff reviewed the California Advanced Services Fund Broadband Infrastructure Grant Account application submitted by Anza Electric Cooperative, Inc. (AEC) on June 1, 2023, and determined that the ConnectAnza Phase 3 Project is eligible for Ministerial Review and Approval under the program rules established in Decision (D.) 22-11-023. The project will receive 100% of the requested amount; a total of up to \$688,431 in grant funding.

| Project | CASF Funding Requested | Eligible Locations |
|---------------------|------------------------|--------------------|
| ConnectAnza Phase 3 | \$688,431 | 28 |

Should AEC agree to the terms set forth in Attachment 1 and the requirements specified in D.22-11-023, please complete and sign the attached Consent Form (see Attachment 2). The executed Consent Form along with a completed STD 204 Payee Data Record (see Attachment 3) must be emailed to CASF_infrastructure_grant_administrator@cpuc.ca.gov within 30 calendar days from the date of this letter. Should AEC not submit the Consent Form within 30 calendar days from the date of this letter, the Commission will deem the Grant award to be null and void.

Please contact Mike Goins, Sr. Telecommunications Engineer, at mio@cpuc.ca.gov or 415-696-7336 if you have any questions or need additional information.

Sincerely,

Maria Ellis

Maria Ellis, Deputy Director
Communications Division

Attachments:

Attachment 1: ConnectAnza Phase 3 Project Discussion

- Appendix A: Payments to CASF Recipients
- Appendix B: CASF Applicant Key Information
- Appendix C: Project Location Map

Attachment 2: Consent Form

Attachment 3: [STD 204 Payee Data Record](#)

ATTACHMENT 1

Anza Electric Cooperative, Inc. ConnectAnza Phase 3 Project Discussion

I. SUMMARY

Communications Division (CD) staff approves funding from the California Advanced Services Fund (CASF) up to the amount of \$688,431 for the Broadband Infrastructure Grant Account (CASF Infrastructure Account) application from Anza Electric Cooperative, Inc. (AEC) (U-7297-C) for the ConnectAnza Phase 3 Project.

Staff determined that the ConnectAnza Phase 3 Project is eligible for Ministerial Review and Approval under program rules established in Decision (D.) 22-11-023.¹ The approved project will deploy last-mile broadband infrastructure to enable Fiber-to-the-Premises (FTTP) broadband service at speeds of up to 1,000 megabits per second (Mbps) download and 1,000 Mbps upload to a total of 28 CASF-eligible households and nine businesses. The approved project will provide broadband access to areas that are currently unserved by facilities-based wireline or fixed wireless broadband service providers.²

II. BACKGROUND

The CASF Infrastructure Account provides grant funding to Internet service providers to build or upgrade broadband infrastructure in areas that are unserved by existing broadband providers. The CASF program was initiated in 2008 after the program was first adopted by the California Public Utilities Commission (Commission) in D.07-12-054³ and enacted into statute pursuant to Senate Bill (SB) 1193.

On October 15, 2017, Governor Brown signed Assembly Bill (AB) 1665 (Garcia)⁴ into law. This legislation amended the statute governing the CASF program, Public Utilities Code § 281.

On December 20, 2018, the Commission issued D.18-12-018⁵ adopting programmatic changes to the CASF Program. Appendix 1 of D.18-12-018 set forth the rules, application requirements and guidelines for the CASF Infrastructure Account.

¹ Decision 22-11-023 is available at <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M498/K964/498964734.PDF>.

² See California Interactive Broadband Map at <https://www.broadbandmap.ca.gov/>.

³ Decision 07-12-054 is available at https://docs.cpuc.ca.gov/PublishedDocs/WORD_PDF/FINAL_DECISION/76947.PDF.

⁴ AB 1665 is codified at Public Utilities (Pub. Util.) Code Sec. 281.

⁵ Decision 18-12-018 is available at <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M250/K281/250281851.PDF>.

On March 10, 2021, the Commission issued D. 21-03-006⁶ modifying data submission requirements and requiring open access for CASF projects. Revised CASF program guidelines were set forth in Appendix A of D.21-03-006.

On July 20, 2021, Governor Newsom signed historic broadband legislation to help bridge the digital divide. Among other things, SB 156, authored by the Committee on Budget and Fiscal Review, instituted several changes to the CASF program. The bill changed the definition of “unserved area,” allowing, but not requiring, funding for “an area for which there is no facility-based broadband provider offering at least one tier of broadband service at speeds of at least 25 Mbps downstream, 3 Mbps upstream...”⁷

Further, SB 156 changed the requirements for the infrastructure that could be funded by the CASF program. Pursuant to Pub. Util. Code Sec. 281(f)(5), the Commission may only fund projects that “deploy infrastructure capable of providing broadband access at speeds of a minimum of 100 Mbps downstream and 20 Mbps upstream ...” In addition, the legislature added the requirement that each entity that receives CASF funding must report when it uses a licensed contractor or subcontractor with a contract in excess of \$25,000 for work funded by CASF and that the Commission must post this information on a monthly basis.⁸

On November 17, 2022, the Commission issued D.22-11-023 adopting programmatic changes to the CASF Infrastructure Account. Appendix 1 of D.22-11-023 set forth the rules, application requirements and guidelines for the CASF Infrastructure Account.

The most recent CASF Infrastructure Account grant application deadline was June 1, 2023.⁹

The additional eligibility requirements for securing funding via Ministerial Approval from the CASF Infrastructure Account are reflected below in Table 1.¹⁰

⁶ Decision 21-03-006 available at <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M370/K506/370506802.PDF>.

⁷ See Pub. Util. Code Sec. 281(b)(1)(B)(ii)(I).

⁸ See Pub. Util. Code Sec. 281(l)(1)(A).

⁹ See April 18, 2023 “Postponement of the 2023 CASF Infrastructure Application Deadline to June 1, 2023” Letter to CASF Service and Distribution Lists available at: <https://www.cpuc.ca.gov/-/media/cpuc-website/divisions/communications-division/documents/casf-infrastructure-and-market-analysis/2023-letters/20230418-exec-dir-casf-infra-extension-deadline-letter.pdf>.

¹⁰ See requirements for staff approval in Attachment 1 of D.22-11-023, pp. A-30 & A-31, available at <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M498/K965/498965038.PDF>.

Table 1. Eligibility and Participation Requirements for Ministerial Approval

| Category | Criteria |
|-----------------|--|
| Eligibility | Applicant and project meet the program eligibility requirements. |
| Affordability | Projects that will offer a low-income broadband plan, as defined in Section 3, above, at a co-pay amount (i.e., out-of-pocket expense) of \$15 or less per month. |
| Challenges | The application is not challenged or, if challenged, the challenge is not upheld, or staff has determined that the project area is unserved. |
| Maximum Subsidy | The total grant does not exceed \$25,000,000. |
| CEQA | The project must be California Environmental Quality Act (CEQA)-exempt, or approval letter must state that authorization to construct and release funds will be provided in a forthcoming resolution. |
| Competition | There must be no competing applications for the same project area in the same application period. |
| Location Costs | Costs per serviceable location are low: <ul style="list-style-type: none"> a. For projects that will deploy wireline connections, proposed project costs \$24,700 per serviceable location or less. b. For fixed wireless projects, proposed project costs \$4,500 per serviceable location or less. |

III. APPLICANT INFORMATION, REQUESTS AND NOTICE

On June 1, 2023, CD received 74 grant applications for funding from the CASF Infrastructure Account. On June 15, 2023, staff posted the proposed project area map and project summary for the ConnectAnza Phase 3 Project on the Commission’s CASF Infrastructure Project Summaries webpage¹¹ and sent notice regarding the project to the CASF Distribution List.¹²

Applicant

Anza Electric Cooperative, Inc., founded in 1955, provides power to the communities of Anza, Garner Valley, Pinyon Pines, and parts of Aguanga. Anza received its Certificate of Public Convenience and Necessity (CPCN) on May 26, 2015.

¹¹ See <https://www.cpuc.ca.gov/industries-and-topics/internet-and-phone/california-advanced-services-fund/casf-infrastructure-project-summaries>

¹² See <https://www.cpuc.ca.gov/-/media/cpuc-website/divisions/communications-division/documents/casfdistributionlist.xlsx>.

Per the application materials submitted, AEC's service area is nearly 700 square miles of high desert with an elevation of roughly 4,000 feet. Anza is located at an almost equal distance from Palm Desert, Hemet, and Temecula in Riverside County in Southern California. ConnectAnza, the internet service provider division of AEC, provides fiber optic, high-speed broadband internet service to its customers. The first strands of fiber were placed in July 2015. Broadband service is available to most of the Cooperative's service territory in the Anza and Aguanga areas.

AEC is the recipient of three previous CASF grants in the amounts of \$2,662,450 (ConnectAnza) approved on December 17, 2015 (Resolution T-17503,) \$1,796,070 (ConnectAnza Phase 2) approved on May 31, 2018 (Resolution T-17581;) and, \$205,125.60 (ConnectAnza RDOF Kicker Project) approved ministerially on December 5, 2022 for the FCC Rural Digital Opportunity Fund (RDOF) Phase 1 Auction.

IV. APPLICATION REVIEW AND EVALUATION

Staff reviewed the ConnectAnza Phase 3 application according to the guidelines, requirements, and evaluation adopted in D.22-11-023, as follows:

- A. Project Area Eligibility
- B. Minimum Performance Criteria
- C. Funding Determination
- D. Safety and Community Support

A. Project Eligibility

Staff determined that AEC's ConnectAnza Phase 3 Project is eligible for CASF funding. According to the California Interactive Broadband Map, all locations AEC proposes to serve in the Project area are currently unserved by any facilities-based wireline or fixed wireless broadband service providers in the project area.¹³

Challenges

Pursuant to Public Util. Code, § 281(f)(8) and as required by D.22-11-023, the Commission provided an opportunity for entities to challenge applications. Challenges were due on July 6, 2023. The Commission received one challenge from Charter Communications, Inc., dba Spectrum Pacific West, LLC.

According to the California Interactive Broadband Map, published in April 2023, the challenged locations are outside the ConnectAnza Phase 3 Project area. Therefore, the challenge is denied.

¹³ See California Interactive Broadband Map at <https://www.broadbandmap.ca.gov/>.

B. Minimum Performance Criteria

Staff reviewed AEC’s application and determined the ConnectAnza Phase 3 Project meets the minimum performance criteria pursuant to D.22-11-023, Appendix 1, Section 6, as summarized in Table 2.

Table 2. Minimum Performance Criteria

| Requirement | CASF Performance Criteria | Proposed Project |
|-----------------------------|---|------------------|
| Project Completion | CEQA-exempt projects must be completed within 18 months after Commission approval; all other projects shall be completed within 24 months after CEQA resolution approval. | 7 months |
| Pricing | 5-year price commitment after project completion | 5-year |
| Speed | Minimum 100/20 Mbps | 10/10 Gbps |
| Round-trip Ping Time | Maximum 50 milliseconds (ms) | 5 ms |
| Data Caps | Minimum 1 terabyte (TB) per month | None |
| Affordability | Low-income plan offered | \$0 per month |

Pricing, Speed, and Affordability

AEC commits to providing fixed residential and low-income broadband pricing plans¹⁴ for five years starting from the date the project is completed. AEC will waive all standard installation and service-connection fees in the project area for broadband service customers during the first five years.

Low-income subscribers approved for participation in the Affordable Connectivity Program (ACP) will be offered a \$30 per month package, including all taxes and fees, at 100/100 Mbps symmetrical speeds resulting in a \$0 out-of-pocket monthly expense for qualified subscribers. Pursuant to D. 22-11-023, ACP will be offered throughout the entire ConnectAnza Phase 3 project area.¹⁵ AEC’s pricing plan for broadband services is provided below in Table 3, below.

¹⁴ See *Section 3 Definitions* in Attachment 1 of D.22-11-023 at <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M498/K965/498965038.PDF>.

¹⁵ Pursuant to D.22-11-023, Attachment 1, page A-6, which states "Should the ACP end, the Commission will identify a successor low-income subsidy program in its place." See <https://docs.cpuc.ca.gov/PublishedDocs/Published/G000/M498/K965/498965038.PDF>. See also www.fcc.gov/acp.

Table 3. Anza Electric Cooperative Broadband Pricing Plan

| Download Speed | Upload Speed | Monthly Price |
|----------------|--------------|---------------|
| 100 Mbps | 100 Mbps | \$30* |
| 100 Mbps | 100 Mbps | \$49 |
| 300 Mbps | 300 Mbps | \$79 |
| 1000 Mbps | 1000 Mbps | \$129 |

*Income-qualified ACP program participants only.

AEC’s network will be capable of providing broadband access up to 10 Gbps upload and download. The proposed speed offerings (100 Mbps symmetrical for low-income plans and up to 1000 Mbps symmetrical) meet the 100/20 Mbps minimum performance requirement.

Conditional Approval, Requiring CEQA Disposition

All CASF grants are subject to California Environmental Quality Act (CEQA) requirements unless the project is statutorily or categorically exempt pursuant to the CEQA Guidelines. AEC has requested a deviation from the requirements of Pub. Util. Code, § 320 to construct new overhead fiber facilities along State Scenic Highway 74 in Riverside County. The Commission's Energy Division CEQA Section staff is preparing a draft resolution recommending approval for this deviation and ConnectAnza Phase 3 Project’s CEQA-exempt status. If approved, the resolution will provide authorization to construct and release funds.

All CEQA-exempt projects must be completed within 18 months of Commission approval.

C. Funding Determination

AEC is eligible to receive 100 percent funding to cover the cost of the ConnectAnza Phase 3 Project. Staff considered the statutory factors to determine the grant funding level, as described by Pub. Util. Code, § 281(f)(11) and program rules adopted in D.22-11-023, Attachment 1.

D. Safety and Community Support

The CASF program encourages the deployment of broadband throughout the State to enable the public to access internet-based safety applications, telehealth services, emergency services, and to provide communications for first responders during emergencies. AEC received multiple letters of support from a variety of community leaders representing safety and welfare institutions associated with the ConnectAnza

Phase 3 Project.

In compliance with D.22-11-023, Staff determined the ConnectAnza Phase 3 project qualifies for Ministerial Review and Approval and is eligible to receive \$688,431 in CASF Infrastructure Account funding.

V. COMPLIANCE REQUIREMENTS

AEC is required to comply with all the guidelines, requirements, and conditions associated with the grant of CASF funds as specified in D.22-11-023. Such compliance includes, but is not limited to, the items noted below.

Execution and Performance

Staff and AEC shall determine a project start date after the CASF grant recipient has obtained all approvals. The Commission may terminate the grant should AEC, or any contractor it retains, fail to commence work by the designated date, upon five days' written notice to AEC. If AEC fails to complete the project in accordance with the terms of the Commission's approval as set forth in this letter, AEC shall reimburse some or all the CASF funds received. AEC must complete all construction covered by the grant on or before the grant's termination date.

Letter of Credit

In their application, AEC provided proof of CPCN registration and thus is exempt from the requirement of providing a letter of credit.

Project Audit

The Commission has the right to conduct any necessary audit, verification, and discovery during project implementation/construction to ensure that CASF funds are spent in accordance with Commission approval. Any AEC invoices submitted for payment will be subject to a financial audit by the Commission at any time within three years of completion of the work.

Open Access

AEC will comply with the open access requirements set forth in D.22-11-023 and its Attachment 1.

Tribal Commitment

AEC will use the Commission's Tribal Consultation Policy as guidance for meaningful engagement and discussion regarding service on Tribal lands.¹⁶

Providing Voice Service/ LifeLine Commitment

AEC attests that they will provide their basic analog telephone service (POTS) and/or Voice over IP (VoIP) which meets FCC standards for E-911 service and battery backup. AEC commits to offer its VoIP service with unlimited domestic calling and voicemail for \$20 per month, including all taxes and fees.

Affordability

AEC will provide affordable broadband plans to all qualifying low-income consumers in the project area for at least five years after service becomes available in the project area. Plans must offer minimum speeds of 100/20 Mbps, to all eligible low-income customers and meet the standards defined in D.22-11-023.

Prevailing Wage

Section 1720 of the California Labor Code specifies that CASF-subsidized projects are subject to prevailing wage requirements. Anza has committed to following state prevailing wage requirements for this project.

Reporting and Accountability

AEC must submit biannual progress reports on the status of the project, due in March and September, until the project is completed. Progress reports shall include schedule updates, completion dates of construction milestones, problems encountered, actions taken to resolve problems, and future risks to the project. Before full payment of the project is made, AEC must submit a project completion report that includes speed test results throughout the project area and all other requirements of D.22-11-023, Section 13 (Reporting Requirements).

Additionally, AEC must comply with Pub. Util Code, § 281(l)(1) contractor reporting requirements, which requires reporting of certain information for all contractors and subcontractors undertaking a contract or subcontract in excess of twenty-five thousand

¹⁶ See *Adoption of Tribal Consultation Policy, Attachment 1 - Tribal Consultation Policy of the California Public Utilities Commission* at <https://www.cpuc.ca.gov/-/media/cpuc-website/divisions/news-and-outreach/documents/bco/tribal/tribal-consultation-policy-approved.pdf>.

dollars on CASF-subsidized projects.

APPENDIX A

Payments to CASF Recipients

Pub. Util. Code § 281(f)(10) defines the costs the Commission may reimburse as:

- Costs directly related to the deployment of infrastructure;
- Costs to lease access to property or for Internet backhaul services for a period not to exceed five years; and
- Costs incurred by an existing facility-based broadband provider to upgrade its existing facilities to provide for interconnection.

Additionally, D.22-11-023 (Section 7) caps administrative expenses directly related to the project at 10 percent of the grant amount¹⁷.

The grantee may submit reimbursement requests at the following intervals:

- 10 percent completion;
- 35 percent completion;
- 60 percent completion;
- 85 percent completion; and
- 100 percent completion.

The final 15 percent payment request (from 85 to 100 percent) will not be paid without an approved completion report. Payments are based on submitted receipts, invoices and other supporting documentation showing expenditures incurred for the project in accordance with the approved CASF funding budget included in the CASF grantee's application.

Payment to grantees shall follow the process adopted for funds created under Public Utilities Code § 270. The Commission generally processes payments within 20-25 business days, including Communications Division and Administrative Services review time. The State Controller's Office (SCO) requires an additional 14- 21 days to issue payment from the day that requests are received by SCO from Administrative Services.

¹⁷ Administrative costs are defined as "indirect overhead costs attributable to a project, per generally accepted accounting principles (GAAP), and the direct cost of complying with Commission administrative and regulatory requirements related to the grant itself." Applicants seeking additional funds will require a Commission exemption included in a draft resolution.

APPENDIX B

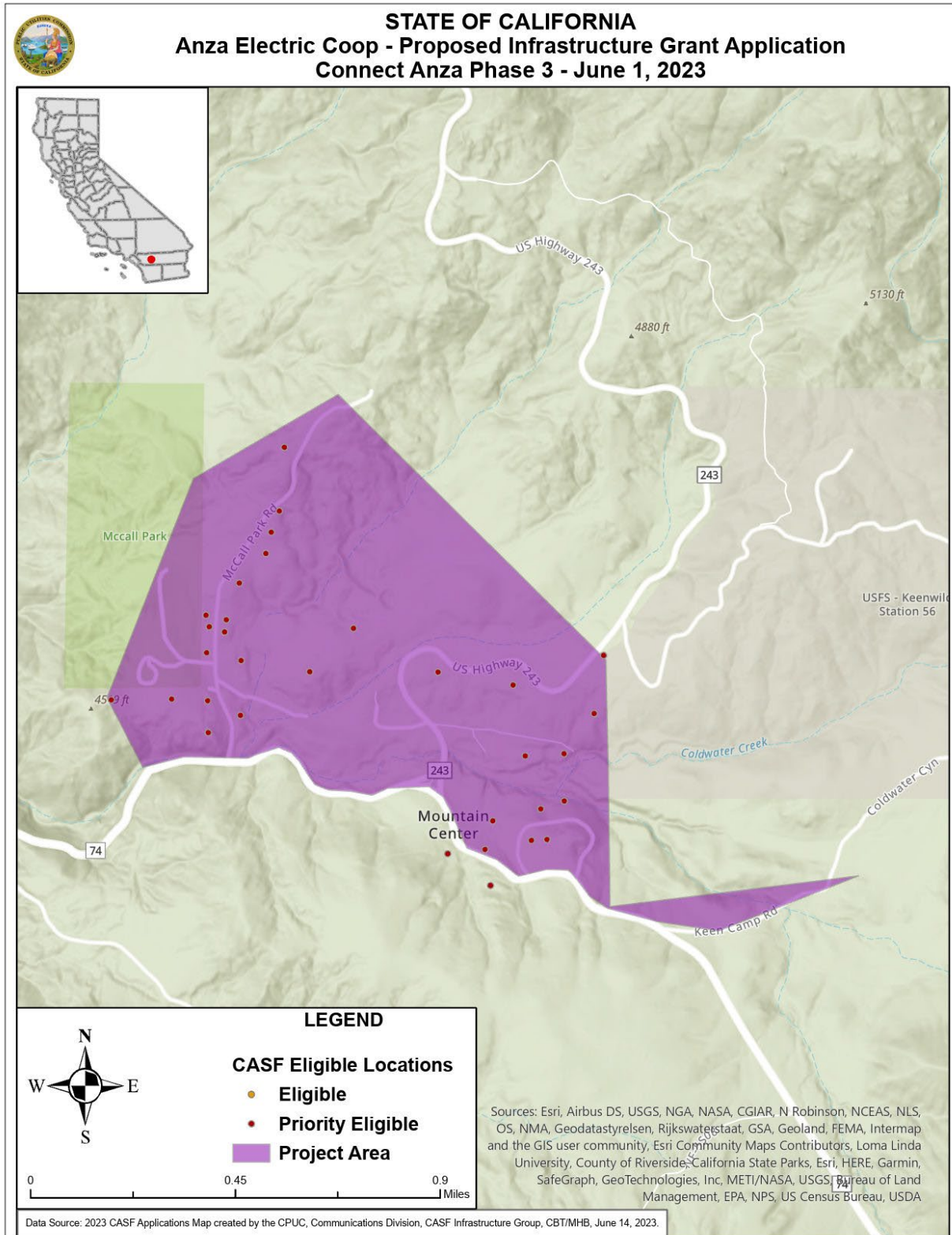
CASF Applicant Key Information

| | |
|--|--|
| <p>Infrastructure Account Project Plan</p> | <p>Anza Electric Cooperative, Inc. proposes to use \$688,431 in CASF funding to provide service to 28 eligible locations</p> |
| <p>Download/Upload speed</p> | <p>Gigabit-capable network infrastructure</p> |
| <p>Location</p> | <p>Mountain Center Community in Riverside County</p> |
| <p>Census Block Groups</p> | <p>060650444041 060650444052</p> |

APPENDIX C

Project Location Map

Anza Electric Cooperative, Inc. - ConnectAnza Phase 3 Project



ATTACHMENT 2

Consent FORM

Name of Grantee: _____

The California Advanced Services Fund (CASF) Grantee identified above acknowledges receipt of either a Resolution or a letter from Commission Staff approving a grant under the Ministerial Review process. The Grantee agrees to comply with all grant terms, conditions, and requirements set forth in Resolution T-_____ or letter _____, as well as all CASF rules, including those in the Broadband Infrastructure Accounts Requirements, Guidelines and Application Materials.

Licensed Contractor Reporting Requirement

All CASF Broadband Infrastructure Accounts grantees approved on or after July 21, 2021 must fulfill the monthly reporting requirements set forth in Public Utilities Code section 281(l)(1) when work done on this project by a licensed contractor or subcontractor to undertake a contract or subcontract exceeds twenty-five thousand dollars (\$25,000). Grantee must submit this information monthly in an email to CASF Infrastructure Grant Administrator@cpuc.ca.gov with the subject line "<Project Name> Contractor monthly report <Month> <Year>." Please note that pursuant to section 281(l)(2), the Commission will post this information on its website. The grantee must include the following:

1. The name and contractor's license number of each licensed contractor and subcontractor undertaking a contract or subcontract in excess of twenty-five thousand dollars (\$25,000) to perform work on a project funded or financed pursuant to this section.
2. The location where a contractor or subcontractor described in subparagraph (1) will be performing that work.
3. The anticipated dates when that work will be performed.



Undersigned representative of _____ [Name of Grantee] is duly authorized to execute this Consent Form on behalf of the Grantee and to bind the Grantee to the terms, conditions, and requirements set forth in California Public Utilities Commission Resolution T-_____ or the letter referenced above.

Dated this _____ day of _____, 20____.

Signature

Printed Name

Title: _____

Organization or Name of Company: _____

Business Address (include street address, suite/apt. number, city, state, and ZIP Code):

Telephone Number (include area code): (_____)_____

Email Address: _____

ATTACHMENT 3

Payee Data Record (sample)

| | | | |
|---|--------------|--|--------------------------------------|
| STATE OF CALIFORNIA – DEPARTMENT OF FINANCE PAYEE DATA RECORD (Required when receiving payment from the State of California in lieu of IRS W-9 or W-7) STD 204 (Rev. 03/2021) | | | |
| Print Form Reset Form | | | |
| Section 1 – Payee Information | | | |
| NAME (This is required. Do not leave this line blank. Must match the payee's federal tax return) | | | |
| BUSINESS NAME, DBA NAME or DISREGARDED SINGLE MEMBER LLC NAME (if different from above) | | | |
| MAILING ADDRESS (number, street, apt. or suite no.) (See instructions on Page 2) | | | |
| CITY, STATE, ZIP CODE | | E-MAIL ADDRESS | |
| Section 2 – Entity Type | | | |
| Check one (1) box only that matches the entity type of the Payee listed in Section 1 above. (See instructions on page 2) | | | |
| <input type="checkbox"/> SOLE PROPRIETOR / INDIVIDUAL | | <input type="checkbox"/> CORPORATION (see instructions on page 2) | |
| <input type="checkbox"/> SINGLE MEMBER LLC <i>Disregarded Entity owned by an individual</i> | | <input type="checkbox"/> MEDICAL (e.g., dentistry, chiropractic, etc.) | |
| <input type="checkbox"/> PARTNERSHIP | | <input type="checkbox"/> LEGAL (e.g., attorney services) | |
| <input type="checkbox"/> ESTATE OR TRUST | | <input type="checkbox"/> EXEMPT (e.g., nonprofit) | |
| <input type="checkbox"/> ALL OTHERS | | | |
| Section 3 – Tax Identification Number | | | |
| Enter your Tax Identification Number (TIN) in the appropriate box. The TIN must match the name given in Section 1 of this form. Do not provide more than one (1) TIN. The TIN is a 9-digit number. Note: Payment will not be processed without a TIN. | | Social Security Number (SSN) or Individual Tax Identification Number (ITIN) | |
| <ul style="list-style-type: none"> • For Individuals, enter SSN. • If you are a Resident Alien, and you do not have and are not eligible to get an SSN, enter your ITIN. • Grantor Trusts (such as a Revocable Living Trust while the grantors are alive) may not have a separate FEIN. Those trusts must enter the individual grantor's SSN. • For Sole Proprietor or Single Member LLC (disregarded entity), in which the sole member is an individual, enter SSN (ITIN if applicable) or FEIN (FTB prefers SSN). • For Single Member LLC (disregarded entity), in which the sole member is a business entity, enter the owner entity's FEIN. Do not use the disregarded entity's FEIN. • For all other entities including LLC that is taxed as a corporation or partnership, estates/trusts (with FEINs), enter the entity's FEIN. | | _____ - _____ - _____ OR Federal Employer Identification Number (FEIN) _____ - _____ - _____ | |
| Section 4 – Payee Residency Status (See instructions) | | | |
| <input type="checkbox"/> CALIFORNIA RESIDENT – Qualified to do business in California or maintains a permanent place of business in California. | | | |
| <input type="checkbox"/> CALIFORNIA NONRESIDENT – Payments to nonresidents for services may be subject to state income tax withholding. | | | |
| <input type="checkbox"/> No services performed in California | | | |
| <input type="checkbox"/> Copy of Franchise Tax Board waiver of state withholding is attached. | | | |
| Section 5 – Certification | | | |
| I hereby certify under penalty of perjury that the information provided on this document is true and correct. Should my residency status change, I will promptly notify the state agency below. | | | |
| NAME OF AUTHORIZED PAYEE REPRESENTATIVE | | TITLE | E-MAIL ADDRESS |
| SIGNATURE | | DATE | TELEPHONE (include area code) |
| Section 6 – Paying State Agency | | | |
| Please return completed form to: | | | |
| STATE AGENCY/DEPARTMENT OFFICE | | UNIT/SECTION | |
| MAILING ADDRESS | | FAX | TELEPHONE (include area code) |
| CITY | STATE | ZIP CODE | E-MAIL ADDRESS |